

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re application of: Vincent Alan Larsen

Serial No.: 10/635,794

Filed: August 5, 2003

Group: To Be Assigned

Examiner: To Be Assigned

For: KEY EXCHANGE FOR A PROCESS-BASED SECURITY SYSTEM

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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(Date of Deposit)

David C. Cain

(Name of Person Mailing Document)

David C. Cain

(Signature)

11/7/03

(Date of Signature)

INFORMATION DISCLOSURE STATEMENT

In accordance with the requirements of 37 C.F.R. §§ 1.56, 1.97, and 1.98, attached please find a Form PTO SB/08 listing information for consideration by the Office in connection with its examination of the above-captioned patent application.

Applicant requests that this information disclosure statement be considered and that a copy of the Form PTO SB/08 be returned to the undersigned indicating the consideration of each document listed.

REMARKS

Applicant submits that no representation is made, and no representation is intended, that more relevant material does not exist, or that the order of presentation of these materials in any way reflects their relevant pertinence. The listing on the attached Form PTO SB/08 is not intended to constitute an admission of any kind. Specifically, this presentation is not an admission that any of the items listed are properly citable against the above-identified application as prior art. Applicant

INFORMATION DISCLOSURE STATEMENT

Serial No. 10/635,794

respectfully submits that his invention is patentable over the enclosed documents listed on Form PTO SB/08.

To Applicant's knowledge, this information disclosure statement is being filed before the mailing date of a first Office Action on the merits. Therefore, pursuant to 37 C.F.R. §1.97(b)(3), no fee is believed necessary for its consideration. Please charge any necessary fees or deficiencies in fees necessary for the filing of this paper or credit any overpayment to Deposit Account No. 20-0780/SAGE-26,417 of HOWISON & ARNOTT, L.L.P.

Respectfully submitted,

HOWISON & ARNOTT, L.L.P.
Attorneys for Applicant

A handwritten signature in black ink that reads "David C. Cain". The signature is written in a cursive, flowing style.

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Please type a plus sign (+) inside this box → ☐

PTO/SB/08B (08-00)
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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2

of 2

Complete if Known

Application Number	10/635,794
Filing Date	August 5, 2003
First Named Inventor	Vincent Alan Larsen
Group Art Unit	TBA
Examiner Name	To Be Assigned
Attorney Docket Number	SAGE-26,417

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	W. WULF, R. LEVIN, C. PIERSON, "Overview of the Hydra Operating System Development", Proceedings of the Fifth Symposium on Operating Systems Principles, November 1975, pp.i-ii, 122-131, Association for Computing Machinery, Inc., New York	
	2	ELLIS COHEN and DAVID JEFFERSON, "Protection in the Hydra Operating System", Proceedings of the Fifth Symposium on Operating Systems Principles, November 1975, pp. i-ii, 141-160, Association for Computing Machinery, Inc., New York	
	3	LEE BADGER ET AL., "A Domain and Type Enforcement UNIX" Prototype", 5th UNIX Security Symposium, June 1995, pp. 127-140,	
	4	H. KRAWCZYK ET AL., "HMAC-MD5: Keyed-MD5 for Message Authentication", Network Working Group Internet Draft, March 1996, pp. i, 1-8	
	5	BART PRENEEL, "IP Authentication using Keyed MD5 / The ESP DES-CBC Transform", Katholieke Universiteit Leuven, Belgium, July 1995, pp. 1-4	
	6	P. METZGER, "IP Authentication using Keyed MD5", RFC 1828 (RFC1828), August 1995, pp. 1-5	
	7	Method and Apparatus for Controlling Access to Storage Device, Kamano, et al., Publication No. U.S. 2001/0047463 A1, Publication Date 11/29/2001	
	8	Null-Packet Transmission From Inside a Firewall to Open a Communication Window for an Outside Transmitter, Boyle, et al., U.S. 2003/0018912 A1, 01/23/2003	
	9	International Search Report, PCT/US03/02716, International Searching Authority (ISA/EP) 04/04/2003	

Examiner
Signature

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Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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